

RISK PROFILING AND RISK ASSESSMENT OF CLIENT
(II)Note:- We do not Provide any low risk services.

1). Name of the Applicant:

Investment Goal? [NaN]

- Capital Preservation.
- Income.
- Income and Growth.
- Agressive Growth.

Proposed Investment Amount. [NaN]

- >5 lacs.
- 2-5 lacs.
- 1-2 lacs.
- <1 lacs.

Market Value of portfolio held? [NaN]

- >5 lacs.
- 2-5 lacs.
- 1-2 lacs.
- <1 lacs.

How was your experience with investing in the market? [NaN]

- Very positive and would like to keep on investing.
- I am new to the market and very cautious while investing .
- I have incurred losses in the past and invest very cautiously.
- Very bad.

Risk Tolerance? [2]

- Very Aggressive.
- Aggressive.
- High.
- Medium.

What is your Age Group?[4]

- Under 35.
- Under 45.
- 46-55.
- 55-60.
- 60 Above.

Above Mentioned Services fall into the risk category chosen by you. If you agree to the above then click Yes.If you do not agree please click NO.

Yes No

If you disagree to the above risk assesment please select atleast two or more services mentioned below.

- A. Stock Cash = Medium
- B. Stock Futures = Medium
- C. Nifty Futures = Medium
- D. Agri Pack = High
- E. Options - Call & Put = High
- F. Commodity Pack = High
- G. FOREX/Currency Pack = High
- H. Profit Call = High
- I. Premium Pack = High
- J. Super Premium Pack = High

Risk involved with the above mentioned services will be the responsibilty of the applicant him self.

(III)Note:-

If you are willing to get telephonic support instead of SMS of our services then all the responsibility of loss or profit whether big or small, through holding or in intraday market, would only be yours and not of the company or any person associated with the company.

If you agree to the above then click "YES".If you do not agree please click "NO".

Yes No

Investment risk profile

In setting up an investment portfolio suitable for you, your financial adviser will ask you a series of questions about your financial and lifestyle goals. Using this information, plus details of your current assets, liabilities and income, your adviser can determine what level of risk or exposure you are prepared to

tolerate in relation to fluctuations in the marketplace - and the level that makes sense for your stage in life.

From this, an appropriate mix of assets can be allocated to your investment portfolio.

	Your Investment Profile
(17 Above)-Very Aggressive Investors	<p>As an very aggressive investor you are ready to take higher risk expecting greater returns. This is a result of your urge to get more income and capital growth.</p> <p>You are well place to recover from unforeseen market downturns either because you have time on your side or access to to capital returns.</p>
(13-16)-Aggressive Investors	<p>As an aggressive investor you are ready to take higher risk expecting greater returns.</p> <p>This is a result of your urge to get more income and capital growth.</p> <p>You are well place to recover from unforeseen market et downturns either because you have time on your side or access to to capital returns.</p>
(9-12)-High Growth Investors	<p>As a high growth investor your portfolio should have a bias towards capital growth and a little need of income.</p> <p>You are prepared to accept higher degree of volatility and risk. Your primary concern is to to accumulate assets over the medium to long term.</p>
(4-8) Moderate Investor	<p>As a moderate investor your investment portfolio is directly focused on capital growth as well as protecting the wealth already accumulated by you.</p> <p>Your portfolio should be yeilding capital for maintainingng assets. Calculated risk is accepted to yeild better returns.</p>

The questionnaire returns a score on the basis of which you fall in either of the category mentioned above.

This assessment is made solely on the basis of your financial situation and the answers selected by you.

As your advisor, I and you confirm that we have worked together to determine your risk profile and the investment options that are best suited for your investment style.

Client Signature: _____ Date: _____

Authorised Signatory _____
