

**AARADHYA INVESTMENT ADVISER**

info@aiadviser.in

Fill up Risk Profile Form

**KYC AND RISK-PROFILE FORM**

**\*\*\*As per prescribed by SEBI circular No. CIR/MIRSD/16/2011 dated 22th Aug.2011\*\*\***

**(I)Note:- All Fields Marked with (\*) are Mandatory.**

**A. \*IDENTITY DETAILS (All Fields Are Mandatory)**

Name of the Applicant:

Father/ Spouse Name:

Gender:  Male  Female

Marital status:  Single  Married

Date of birth: (DD/MM/YYYY)

Nationality:

Status:

PAN No.:

Unique Identity Number(UID)(Aadhaar):

Specify the proof of Identity submitted:

**B. \*ADDRESS DETAILS (Address's is Mandatory)**

**(I) Permanent Address (if different from correspondence address OR Overseas address 'mandatory' for Non-Resident Applicant)**

**Address:**

**City/Town/Village:**

**Pin Code:**

**State:**

**Country:**

**\*Specify the proof of address submitted for correspondence address:**

## (II) Address for correspondence

**Check this box if Correspondence Address and Permanent Address are the same.**

**Address:**

**City/Town/Village:**

**Pin Code:**

**State:**

**Country:**

**\*Specify the proof of address submitted for correspondence address:**

## C. CONTACT DETAILS

**\*Mobile No:**

**Tel. (Res.):**

**Tel. (Off.):**

**Email id:**

## D. OTHER DETAILS

### 1. \*Gross Annual Income:

- Below 1 Lakh     1-5 Lakh     5-10 Lakh  
 10-25 Lakh     Above 25 Lakh

### Details (Please Specify)

1. Net worth as on (Date)  (Net worth should not be older than 1 year).

### 2. \*Occupation (Please tick any one and give brief details) :

- Private     Public Sector     Business     Professional  
Sector
- Agriculturist     Retired     Housewife     Student
- Others(Please Specify):

### \*Please Tick, If applicable :

- Politically Exposed Person (PEP)     Related to a Politically Exposed Person (PEP)
- No Political Relation    Any other Information :

## DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

Name of the Applicant \_\_\_\_\_

Signature of the Applicant \_\_\_\_\_

Date: \_\_\_\_\_

## DISCLAIMER & TERMS

1. Aaradhya Investment Adviser respects and values the right to privacy of each and every individual. By becoming clients you have a promise from our side that we shall remain loyal to all our clients and non clients whose information resides with us. This privacy policy of Aaradhya Investment Adviser applies to the currents clients as well as former clients.
2. Your information, whether public or private, will NOT be sold , rented, exchanged, transferred or given to any company or individual for any reason without your consent.
3. Your information will be used SOLELY for providing the services to you for which you have subscribed to us and not for any other purposes.
4. Your information given to us represents your identity with us. If any changes are brought in any of the fields of which you have provided us the information, You shell bring it to our notice by either calling usor sending an email to us.
5. By filling out this form you are agreeing the comply with and be bound by the following terms and conditions of use along with the terms and conditions posted on the website [www.aiadviser.in](http://www.aiadviser.in), which together with our privacy policy govern Aaradhya Investment Adviser relationship with you in relation to the website.
6. By signing the form above, you agree to provide us your valid mobile number. Also by providing your mobile number, you provide us the consent to call you on the number provided by you and send SMS on your mobile number. You provide your consent for us to use your mobile numbers even if it is registered on the National 'DO Not Disturb' registry.
7. Aaradhya Investment Adviser as a merchant shall be under no liability whatsoever in respect of any loss or damage arising directly or indirectly out of the decline of authorisation for any Transaction, on Account of the Cardholder having exceeded the pre-set limit mutually agreed by us with our acquiring bank from time to time.
8. Aaradhya Investment Adviser is registered as a Firm in india with, SEBI Registration Number as INA000005085.

## OFFICE USE ONLY

1. Self certified document received (Self-Attested)  .
2. Details match to KRA data basis  .

Seal/Stamp of the intermediary & Signature

(.....)

Authorised Signatory

Date:

## INSTRUCTIONS

1. Fill out the form in its entirety and put signature on all the pages along with the supporting documents.
  2. Email the complete form along with the required documents to [info@aiadviser.in](mailto:info@aiadviser.in).
- 3 Please note that your services can not be started before this form is received at Aaradhya Investment Adviser as per SEBI regulations